

WELL-QUASI-ORDERING

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GRAPH MINOR THEOREM

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Finite graphs are well-quasi-ordered by \leq_m .

A **quasi-order** is (Q, \leq) , where \leq is reflexive and transitive.

NOTE Let $x \equiv y$ mean $x \leq y$ and $y \leq x$. Then Q/\equiv is a partial order. Define $x < y$ to mean $x \leq y$ and $y \not\leq x$.

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(Q, \leq) is **well-quasi-ordered (wqo)** if for every infinite sequence q_1, q_2, \dots there exist $i < j$ with $q_i \leq q_j$.

NOTE Equivalent to

- no infinite antichain, and
- no infinite descending sequence $q_1 > q_2 > \dots$

LEMMA If (Q, \leq) is wqo, then for every infinite sequence q_1, q_2, \dots there exist $i_1 < i_2 < \dots$ with $q_{i_1} \leq q_{i_2} \leq \dots$.

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PROOF Say i is **terminal** if $q_i \leq q_j$ for no $j > i$. There are only finitely many terminal indices. Let i_1 be larger than all terminal indices. $\exists i_2 > i_1$ with $q_{i_1} \leq q_{i_2}$ $\exists i_3$ with $q_{i_2} \leq q_{i_3}$, etc.

LEMMA If (Q_1, \leq_1) and (Q_2, \leq_2) are wqo, then $(Q_1 \times Q_2, \leq)$ is wqo. Here $(q_1, q_2) \leq (q'_1, q'_2)$ if $q_1 \leq_1 q'_1$ and $q_2 \leq_2 q'_2$.

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PROOF Let $(x_1, y_1), (x_2, y_2), \dots$ be given. Find $i_1 < i_2 < \dots$ with $x_{i_1} \leq_1 x_{i_2} \leq_1 \dots$. Find $r < s$ with $y_{i_r} \leq_2 y_{i_s}$. Then

$$(x_{i_r}, y_{i_r}) \leq (x_{i_s}, y_{i_s}).$$

THEOREM (Higman) If Q is wqo, then $Q^{<w}$ is wqo.

$Q^{<w}$ = finite sequences of elements of Q , quasi-ordered by monotone domination:

$$(x_1, x_2, \dots, x_k) \leq (y_1, y_2, \dots, y_\ell)$$

if there is a strictly increasing mapping $f : \{1, 2, \dots, k\} \rightarrow \{1, 2, \dots, \ell\}$ such that $x_i \leq y_{f(i)}$.

EXAMPLE $(1, 5, 7, 3) \leq (2, 3, 4, 6, 7, 1, 3)$

PROOF An infinite sequence s_1, s_2, \dots of elements of $Q^{\leq w}$ is **bad** if it violates the definition of wqo. We want to choose a minimal bad sequence.

Let $s_1 \in Q^{<w}$ be shortest such that s_1 starts a bad sequence.

Let $s_2 \in Q^{<w}$ be shortest such that s_1, s_2 starts a bad sequence.

Let $s_3 \in Q^{<w}$ be shortest such that s_1, s_2, s_3 starts a bad sequence.

etc.

Let $s_i = q_i + s'_i$

CLAIM $\{s'_1, s'_2, \dots\}$ is wqo

PROOF OF CLAIM Let $s'_{i_1}, s'_{i_2}, \dots$ be a bad sequence.

WMA $i_1 < i_2 < \dots$ Then

$$s_1, s_2, \dots, s_{i_1-1}, s'_{i_1}, s'_{i_2}, \dots$$

is a bad sequence, contrary to the choice of s_{i_1} .

By the product theorem $Q \times \{s'_1, s'_2, \dots, \}$ is wqo. So $\exists i < j$ $q_i \leq q_j$ and $s'_i \leq s'_j$. But then $s_i \leq s_j$, as required.

TOPOLOGICAL CONTAINMENT ON ROOTED TREES

$T_1 \leq_t T_2$ if \exists a 1-1 mapping $f : V(T_1) \rightarrow V(T_2)$ such that $f(t_1 \wedge t_2) = f(t_1) \wedge f(t_2)$.

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PROOF Choose e_1 as high as possible such that it starts a section. Choose e_2 as high as possible such that e_1, e_2 start a section. Etc.

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LEMMA Let e_1, e_2, \dots be a minimal bad section, and let e'_i, e''_i be the daughters of e_i . Then $\{\uparrow e'_1, \uparrow e'_2, \dots\}$ and $\{\uparrow e''_1, \uparrow e''_2, \dots\}$ are wqo.

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PROOF WMA there is a bad section $e'_{i_1}, e'_{i_2}, \dots$. Then $e_1, e_2, \dots, e_{i_1-1}, e'_{i_1}, e'_{i_2} \dots$ contradicts minimality.

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PROOF OF KRUSKAL'S THM By the product theorem there exist $i < j$ such that $\uparrow e'_i \leq_t \uparrow e'_j$, and $\uparrow e''_i \leq_t \uparrow e''_j$. But then $\uparrow e_i \leq_t \uparrow e_j$ contrary to badness.

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PROOF Same as above; minimality somewhat trickier.

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FACT Not known even when every component is finite.

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THM Friedman Above unprovable in Peano arithmetic.