

COLORING TRIANGLE-FREE GRAPHS ON SURFACES

Robin Thomas

School of Mathematics

Georgia Institute of Technology

<http://www.math.gatech.edu/~thomas>

joint work with

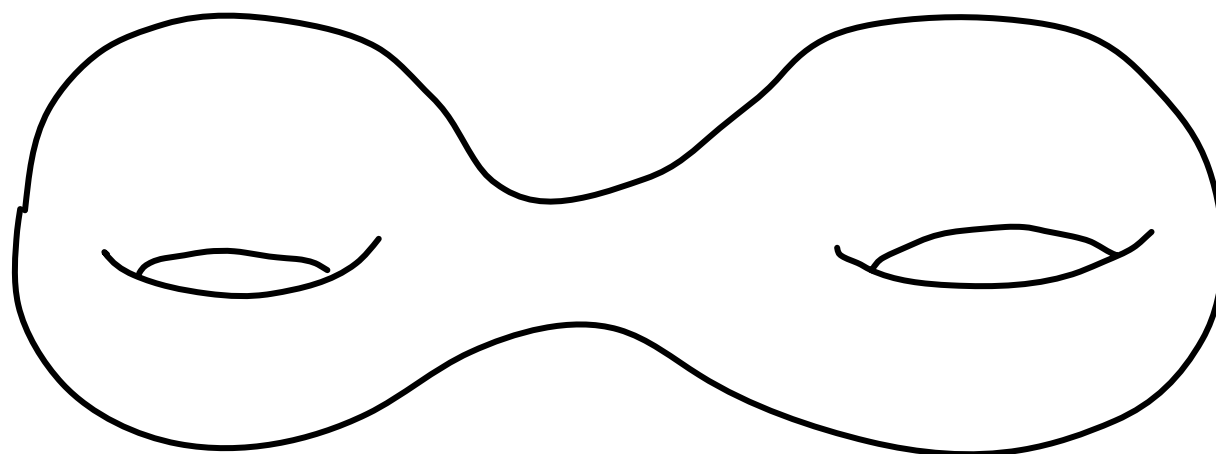
Zdenek Dvorak and Daniel Kral

A **surface** is a compact 2-manifold. $G \hookrightarrow \Sigma$ means G is drawn in Σ with no crossing.

The classification theorem.

Every orientable surface is homeomorphic to a sphere with g handles. Notation: S_g

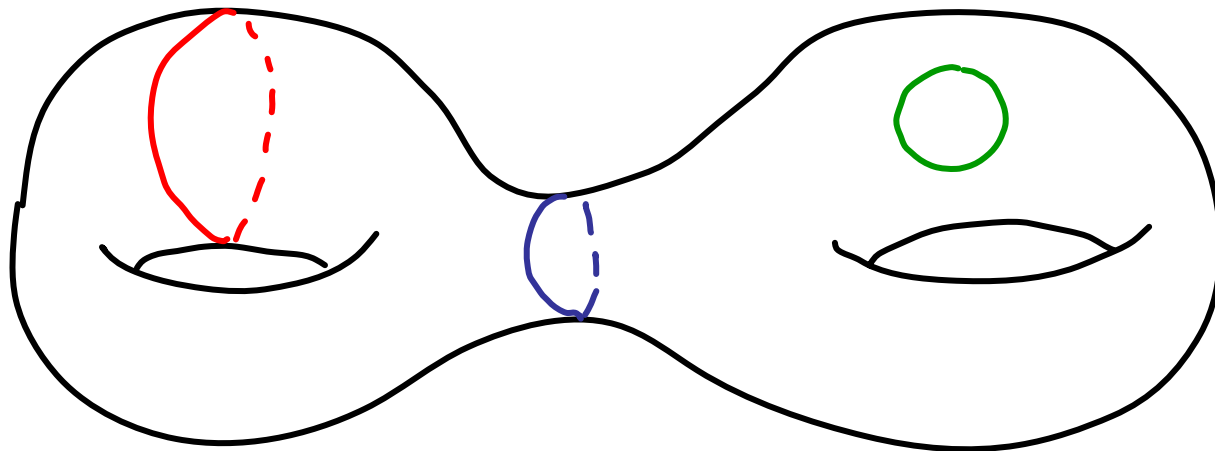
Every nonorientable surface is homeomorphic to a sphere with k cross-caps.



Cycles in embedded graphs

Trivial if null-homotopic (bounds a disk)

Otherwise **non-trivial**. May or may not **separate** the surface. May be **1-sided** or **2-sided**

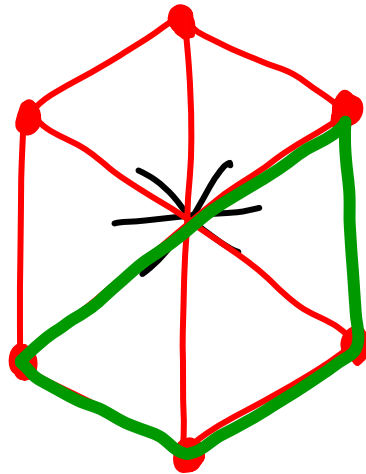


Cutting open along a non-trivial cycle simplifies the surface (but complicates the graph)

Cycles in embedded graphs

Trivial if null-homotopic (bounds a disk)

Otherwise **non-trivial**. May or may not **separate** the surface. May be **1-sided** or **2-sided**



Cutting open along a non-trivial cycle simplifies the surface (but complicates the graph)

Coloring graphs on surfaces

The classical problems:

The 4-color problem (Guthrie 1852)

Can every planar graph be 4-colored?

Coloring graphs on surfaces

The classical problems:

The map color problem: Find $\max \chi(G)$
over all $G \hookrightarrow S_g$ for $g > 0$.

Coloring graphs on surfaces

The classical problems:

The map color problem: Find $\max \chi(G)$
over all $G \hookrightarrow S_g$ for $g > 0$.

Answer: $(7 + \sqrt{48g+1})/2$
(Heawood 1890; Ringel, Youngs 1960s)

Coloring graphs on surfaces

The classical problems:

The map color problem: Find $\max \chi(G)$
over all $G \hookrightarrow S_g$ for $g > 0$.

Answer: $(7 + \sqrt{48g+1})/2$
(Heawood 1890; Ringel, Youngs 1960s)

Modern point of view: $\chi(G)$ is much smaller
for most graphs. Can we compute $\chi(G)$?

Modern point of view: $\chi(G)$ is much smaller for most graphs. Can we compute $\chi(G)$?

Two questions for fixed Σ :

- (1) Finitely many $(k+1)$ -critical $G \in \Sigma$?
- (2) Test $\chi(G) \leq k$ in polytime for $G \in \Sigma$?

Yes to (1) implies yes to (2).

Since finitely many $G \in \Sigma$ have $\min \deg > 6$, yes to (1) for $k \geq 7$. Also yes for $k=6$.

THM (Thomassen) For every Σ , there are only finitely many 6-critical graphs in Σ .

COR $\chi(G) \leq 5$ can be tested in polytime

THM (Fisk) Infinitely many 3-, 4-, 5-critical graphs on any nonplanar surface

THM Testing $\chi(G) \leq 3$ is NP-hard even for planar graphs

Testing $\chi(G) \leq 4$ is open on non-planar surfaces. Prospects not bright.

Triangle-free graphs on surfaces

FACT: For $k \geq 4$ finitely many $(k+1)$ -critical triangle-free graphs on any surface.

That leaves the case $k=3$. Now solved.

Triangle-free graphs in the plane

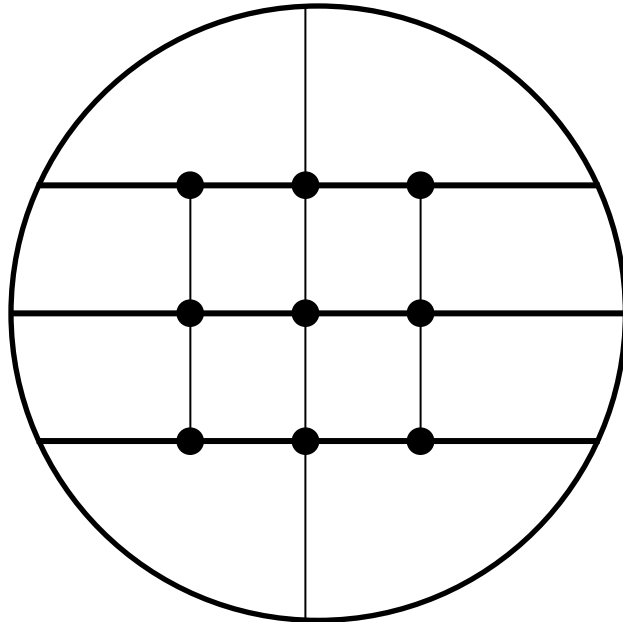
THM (Grotzsch) Every triangle-free planar graph is 3-colorable

The essential step is to prove it for graphs of girth ≥ 5 .
Short proofs by **Thomassen**.

Grotzsch's theorem extends to no other surface
(Mycielski's construction)

Triangle-free graphs in the projective plane

THM (Youngs) For every non-bipartite quadrangulation G of the projective plane $\chi(G)=4$.



THM (Gimbel, Thomassen) A triangle-free graph in the projective plane is 3-colorable \Leftrightarrow it has no subgraph isomorphic to a non-bipartite quadrangulation of the projective plane.

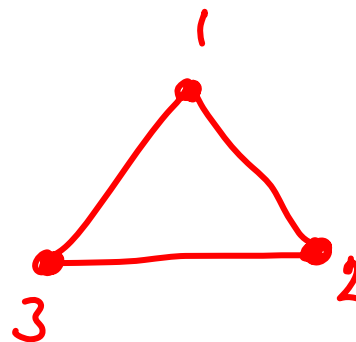
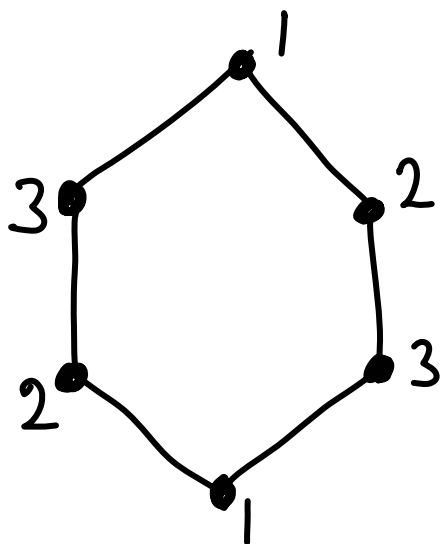
On other surfaces 3-coloring triangle-free graphs was open. The special case of girth at least 5 settled by Thomassen:

THM (Thomassen) On any Σ there are only finitely many 4-critical graphs of girth ≥ 5

THM (Thomassen) None on the torus.

THM (Walls, RT) None on the Klein bottle.

Let $G \hookrightarrow \Sigma$, Σ orientable, let $c: V(G) \rightarrow \{1, 2, 3\}$ be a 3-coloring. Orient edges $1 \rightarrow 2$, $2 \rightarrow 3$, $3 \rightarrow 1$. Let C be a cycle. Choose clockwise direction of C . Define winding number of C : $w(C) := (\# \text{forward edges} - \# \text{back edges})/3$.

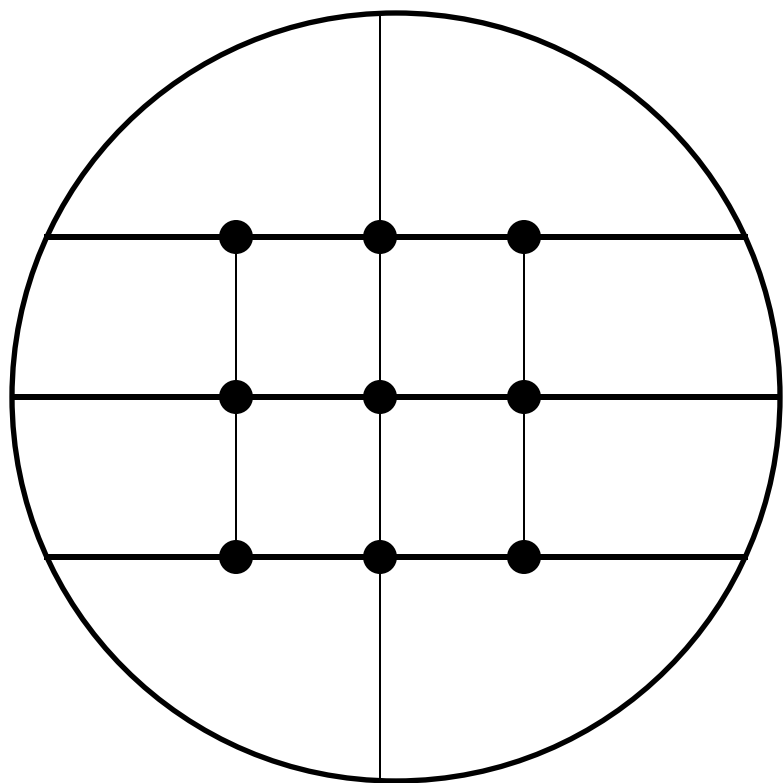


FACT $\sum w(C) = 0$, sum over all facial cycles

COR If some faces are precolored, and all other faces are quadrangles, then a necessary condition for the precoloring to extend is that the sum of winding #s be 0.

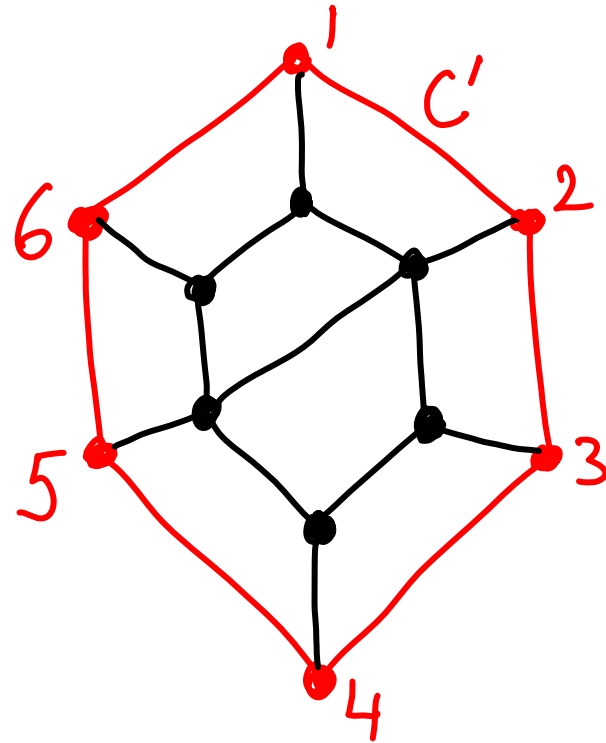
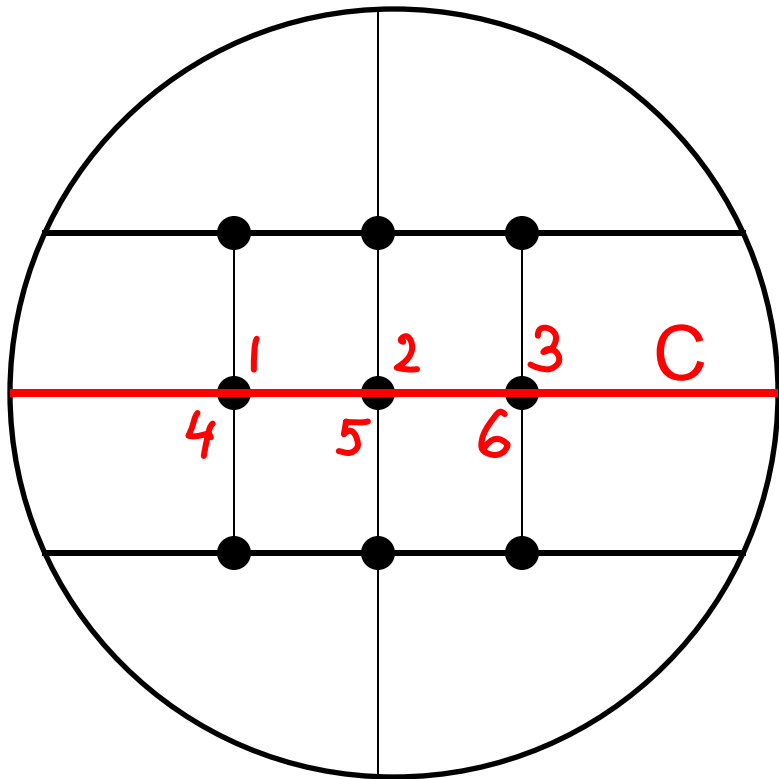
THM (Youngs) For every non-bipartite quadrangulation G of the projective plane $\chi(G)=4$.

Proof. Cut along a non-trivial cycle C . Obtain a bipartite planar near-quadrangulation with outer cycle C' of length $2|V(C)|$. Since C is odd, the winding number of C' is twice an odd integer. Every other cycle has winding number 0.



THM (Youngs) For every non-bipartite quadrangulation G of the projective plane $\chi(G)=4$.

Proof. Cut along a non-trivial cycle C . Obtain a bipartite planar near-quadrangulation with outer cycle C' of length $2|V(C)|$. Since C is odd, the winding number of C' is twice an odd integer. Every other cycle has winding number 0.



$G \hookrightarrow \Sigma$ is embedded with **edge-width** $\geq w$ if every non-trivial cycle has length $\geq w$.

THM (Hutchinson) $\forall \Sigma$ orientable $\exists r$ s.t. every $G \hookrightarrow \Sigma$ with all faces even and edge-width $\geq r$ is **3-colorable**.

THM (Hutchinson) $\forall \Sigma$ orientable $\exists r$ s.t.
every $G \hookrightarrow \Sigma$ with all faces even and
edge-width $\geq r$ is 3-colorable.

THM 1 (Kral, RT) $\forall \Sigma$ orientable $\forall k \exists r \forall G \hookrightarrow \Sigma$
with precolored cycles C_1, \dots, C_k (“holes”) if

1. each hole has size $\leq k$
2. all other faces are C_4 s
3. there is no “schism” of length $\leq r$
4. no C_i surrounded by cycle of length $< |C_i|$
5. sum of winding numbers is 0

\Rightarrow precoloring extends to a 3-coloring of G

THM 2 (Dvorak, RT) If $G \hookrightarrow \Sigma$ is 4-critical and has no trivial triangles, then it has $\leq f(\Sigma)$ faces of length >4 , and each has length $\leq f(\Sigma)$.

THM 3 $\forall \Sigma \exists N$ s.t. every $G \hookrightarrow \Sigma$ with no trivial triangles is either 3-colorable, or has a subgraph H of size $\leq N$ such that for every face f of H it is easy to decide if a precoloring of $\text{bd}(f)$ extends to f .

THM 3 $\forall \Sigma \exists N$ s.t. every $G \hookrightarrow \Sigma$ with no trivial triangles is either 3-colorable, or has a subgraph H of size $\leq N$ such that for every face f of H it is easy to decide if a precoloring of $\text{bd}(f)$ extends to f .

Easy to decide means: f is a disk, a cylinder, or satisfies (1)-(4) of Thm 1.

COR $\forall \Sigma$ 3-colorability of triangle-free graphs $G \hookrightarrow \Sigma$ can be tested in poly-time

COR $\forall \Sigma \forall$ triangle-free $G \hookrightarrow \Sigma$ all but $f(\Sigma)$ vertices of G can be 3-colored.

Open problem

Fix a proper minor-closed class \mathcal{F} . Can $\chi(G)$ be approximated to within an additive error of 10 in poly-time for $G \in \mathcal{F}$?

