

**Mathematics 4317 Midterm Examination – Sept.27, 2006**

**Directions:** Do all four of the problems below. Show your work, and justify your answers and assertions. (“Justify your answer” means give a proof or a counterexample.) This is a closed book examination, and calculators are allowed. Throughout this examination, the symbol “ $\mathbf{R}$ ” will denote the real number system.

1a) (15) Use the supremum property of  $\mathbf{R}$  to prove the following: every non-empty subset  $S$  of  $\mathbf{R}$  that is bounded below has a greatest lower bound.

b) (10) Show that if a subset of  $\mathbf{R}$  has a least upper bound, then the least upper bound is unique.

2a) (10) It can be shown that the equation

$$\langle (x_1, y_1), (x_2, y_2) \rangle = x_1x_2 + 2y_1y_2$$

defines an inner product  $\langle \rangle$  on  $\mathbf{R}^2$ . (You may take this for granted.) Use this fact to show that for all real numbers  $x_1, y_1, x_2$  and  $y_2$  we have

$$(x_1x_2 + 2y_1y_2)^2 \leq (x_1^2 + 2y_1^2)(x_2^2 + 2y_2^2).$$

b) (15) Let  $H$  denote the upper half-plane  $\{(x, y) \text{ in } \mathbf{R}^2 : y > 0\}$ . Show that  $H$  is an open subset of the plane  $\mathbf{R}^2$ .

3. (25) Let  $X$  be a set. For each subset  $S$  of  $X$ , define a function  $\chi_S$  with domain  $X$  by

$$\chi_S(x) = 1 \text{ if } x \in S \text{ and } \chi_S(x) = 0 \text{ if } x \notin S.$$

Let  $\Phi$  be the function defined by  $\Phi(S) = \chi_S$ . Show that  $\Phi$  is a bijection from the set of all subsets of  $X$  onto the set of all functions from  $X$  to  $\{0, 1\}$ .

4. Let  $S$  be a subset of  $\mathbf{RP}$ , and suppose  $S$  has no cluster points.

a) (5) Must  $S$  be bounded? Justify your answer.

b) (5) Must  $S$  be closed? Justify your answer.

c) (15) Prove the following result (which we proved in class): Let  $\{K_n\}$  be a nested sequence of non-empty closed bounded subsets of  $\mathbf{RP}$  (so that  $K_n \supseteq K_{n+1}$  for every  $n \geq 1$ ). Then the intersection  $\bigcap_{n=1}^{+\infty} K_n$  of the sets  $\{K_n\}$  is not empty.

1a) Let  $T = \{x \in \mathbb{R} : -x \in S\}$ . Then  $l \leq s$  for all  $s \in S$  implies that  $-s \leq -l$  for all  $s \in S$ , i.e. that  $t \leq -l$  for all  $t \in T$ . Thus  $T$  is non-empty (since  $S$  is non-empty) and bounded above. Let  $u$  be the least upper bound for  $T$ . Then  $-x \leq u$  for all  $x \in S$ , so  $-u \leq x$  for all  $x \in S$ , so  $-u$  is a lower bound for  $S$ . Suppose  $l$  is any other lower bound for  $S$ . Then as above,  $-l$  is an upper bound for  $T$ , so  $u \leq -l$  (since  $u$  is the least upper bound for  $T$ ), so  $l \leq -u$ . Thus  $-u$  is the greatest lower bound for  $S$ .

4) Let  $u_1$  and  $u_2$  be least upper bounds for  $S$ . Since  $u_1$  is a least upper bound and  $u_2$  is an upper bound,  $u_1 \leq u_2$ . Similarly  $u_2 \leq u_1$ , so  $u_1 = u_2$ .

2a) Let  $\| (x, y) \| = \langle (x, y), (x, y) \rangle^{1/2}$ . By Cauchy-Schwarz, we have

$$|x_1 x_2 + 2y_1 y_2| = |\langle (x_1, y_1), (x_2, y_2) \rangle| \leq \| (x_1, y_1) \| \| (x_2, y_2) \|, \text{ i.e.}$$

$$|x_1 x_2 + 2y_1 y_2| \leq (x_1^2 + 2y_1^2)^{1/2} (x_2^2 + 2y_2^2)^{1/2}. \text{ Now square both sides.}$$

5) The boundary points of the complement of  $H$  are the points on the  $x$ -axis. Since they are all contained in the complement, this complement is closed. Thus  $H$  is open.

3 Suppose  $S_1$  and  $S_2$  are subsets of  $X$  and  $S_1 \neq S_2$ . If  $x \in S_1$  but  $x \notin S_2$ , then  $\chi_{S_1}(x) = 1$  and  $\chi_{S_2}(x) = 0$ , so  $\chi_{S_1} \neq \chi_{S_2}$ . If  $x \in S_2$  but  $x \notin S_1$ , then  $\chi_{S_1}(x) = 0$  and  $\chi_{S_2}(x) = 1$ , so  $\chi_{S_1} \neq \chi_{S_2}$ . Thus if  $S_1 \neq S_2$ , then  $\chi_{S_1} \neq \chi_{S_2}$ , so  $\bar{\Phi}$  is 1-1. Let  $f$  be a function from  $X$  into  $\{0, 1\}$ . Let  $S = \{x : f(x) = 1\}$ . Then for  $x \in X - S$ ,  $f(x) = 0$ . Thus  $f = \chi_S$ . Thus  $\bar{\Phi}$  is onto.

4a) No: the integers are not bounded in  $\mathbb{R}$  and have no cluster point.

b) Yes:  $S$  contains all of its cluster points, and so is closed.

c) See your text for a proof.