

# THE NEWTON POLYTOPE OF A RECURRENT SEQUENCE OF POLYNOMIALS

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ABSTRACT. A recurrent sequence of polynomials is a sequence of polynomials that satisfies a linear recursion with fixed polynomial coefficients. Our paper proves that the sequence of Newton polytopes of a recurrent sequence of polynomials is quasi-linear. Our proof uses the Lech-Mahler-Skolem theorem of  $p$ -adic analytic number theory with recent results in tropical geometry. A subsequent paper lists some applications of our result to TQFT.

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## 1. INTRODUCTION

**1.1. Recurrent sequences of polynomials.** Consider the ring  $R = \mathbb{Q}[x_1^{\pm 1}, \dots, x_r^{\pm 1}]$  of Laurent polynomials in  $r$  variables  $x = (x_1, \dots, x_r)$ . A sequence  $p_n \in R$  is *recurrent* if it satisfies a linear recursion with coefficients in  $R$ . In other words, there exists a natural number  $d$  and  $c_k \in R$  for  $k = 0, \dots, d$  with  $c_d \neq 0$  such that for all integers  $n$  we have:

$$(1) \quad \sum_{k=0}^d c_k p_{n+k} = 0$$

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For a polynomial  $p \in R$ , let  $N(p)$  denote its *Newton polytope*, i.e., the convex hull of the exponents of the nonzero monomials of  $p$ . Polytopes can be described in several ways. One popular description is as the convex hull  $\text{conv}(S)$  of a finite set  $S$  of vectors in  $\mathbb{R}^r$ . Another description is as a solution to a linear system of inequalities  $Ax \leq b$  for suitable matrices  $A$  and vectors  $b$ .

Our goal is to describe the structure of the sequence of Newton polytopes  $N(p_n)$  of a recurrent sequence  $(p_n)$  of polynomials. This requires to introduce quasi-linear vectors/matrices. A *quasi-linear vector* is a sequence  $v : \mathbb{N} \rightarrow \mathbb{Q}^r$  of vectors of the form

$$v(n) = v_1(n)n + v_0(n)$$

for all but finitely many  $n$ , where  $v_0, v_1 : \mathbb{N} \rightarrow \mathbb{Q}^r$  are periodic sequences.

**Definition 1.1.** We say that a sequence  $(P_n)$  of polytopes is eventually *quasi-linear* if there exists a finite set  $S$  of quasi-linear vectors such that for all but finitely many  $n$  we have:

$$P_n = \text{conv}(\{v(n) \mid v \in S\})$$

Equivalently Lemma 2.1 shows that  $(P_n)$  is eventually quasi-linear if there exist a matrix  $A$  and quasi-linear vector  $b$  such that for all but finitely many  $n$  we have:

$$P_n = \{x \in \mathbb{R}^r \mid Ax \leq b(n)\}$$

## 1.2. Our results.

**Theorem 1.1.** *If  $(p_n)$  is a recurrent sequence of polynomials, then the set  $\{n \in \mathbb{N} : p_n(x) = 0\}$  differs from a finite union of full arithmetic progressions by a finite set. Moreover, if  $p_n(x) \neq 0$  for all  $n$ , then  $N(p_n)$  is quasi-linear.*

The proof of Theorem 1.1 uses the Lech-Mahler-Skolem theorem of  $p$ -adic analytic number theory together with some recent results in tropical geometry.

Recurrent sequences of polynomials occur naturally in classical and quantum topology; see for example [HS04, GM11, Gar13]. Quasi-linear sequences of polytopes occur in recent work of Calegari-Walker [CW13] and in lattice point counting problems, old [Ehr62] and new [CLS12]. Quasi-polynomials appear in lattice point counting problems [Ehr62, CLS12] and also in Quantum Topology [Gar11b, Gar11a]. The next corollary of Theorem 1.1 follows from some recent results of Chen-Li-Sam which generalize the Ehrhart theory; see [CLS12].

**Corollary 1.2.** If  $(P_n)$  is a quasi-linear sequence of polytopes, the volume and the number of lattice points of  $P_n$  is a quasi-polynomial function of  $n$ .

We end this section with an example.

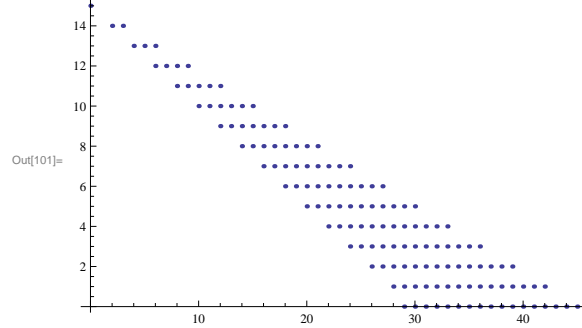
**Example 1.3.** Consider the sequence of polynomials  $p_n \in \mathbb{Q}[x_1, x_2]$  that satisfy the linear recursion

$$p_{n+2} + x_1 p_{n+1} - (x_1^3 + x_2) p_n = 0$$

with initial conditions  $p_0 = p_1 = 1$ . The Newton polytope  $P_n$  of  $p_n$  is a triangle given by

$$P_n = \text{conv}(\{(0, \lfloor n/2 \rfloor), (n-1, 0), (n + \lfloor n/2 \rfloor, 0)\})$$

where  $\lfloor x \rfloor$  is the biggest integer which is less than or equal to  $x$ . For example, the lattice points of  $P_{30}$  are shown here



The number of lattice points  $|P_n \cap \mathbb{Z}^2|$  and the area  $A(P_n)$  are given by

$$|P_n \cap \mathbb{Z}^2| = \frac{n^2}{8} + \begin{cases} \frac{3n}{4} + 2 & \text{if } n \text{ is even} \\ \frac{n}{2} + \frac{11}{8} & \text{if } n \text{ is odd} \end{cases} \quad A(P_n) = \frac{n^2}{8} + \begin{cases} \frac{n}{4} & \text{if } n \text{ is even} \\ -\frac{1}{8} & \text{if } n \text{ is odd} \end{cases}$$

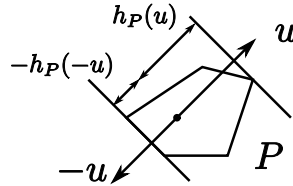
**Acknowledgment.** The author wishes to thank J. Yu for useful conversations. An earlier version of this article, titled The Newton polygon of a recurrent sequence of polynomials and its role in TQFT, was separated in two short articles, the present one and [Gar13].

## 2. THE SUPPORT FUNCTION OF A POLYTOPE

**2.1. Properties of the support function.** Let us review some standard facts of *polyhedral geometry* regarding the *support function*  $h_P$  of a convex body  $P$  in  $\mathbb{R}^r$ . For a detailed discussion, see [Sch93, Sec.1.7] and also [Grü03, Zie95]. The support function is defined by

$$h_P : \mathbb{R}^r \setminus \{0\} \longrightarrow \mathbb{R}, \quad h_P(u) = \sup\{u \cdot x \mid x \in P\}$$

where  $u \cdot v$  denotes the standard inner product of two vectors  $u$  and  $v$  of  $\mathbb{R}^r$ . Given a unit vector  $u$ , there is a unique hyperplane with outer normal vector  $u$  that touches  $P$ , and entirely contains  $P$  in the left-half space. The value  $h_P(u)$  of the support function is the signed distance from the origin to the above hyperplane. This is illustrated in the following figure:



Let us list some useful properties of the support function:

- $h_P$  uniquely determines the convex body  $P$ . This is the famous *Minkowski reconstruction theorem*. For a detailed proof, see [Sch93, Thm.1.7.1] and also [Kla04]. Moreover,

$$(2) \quad P = \{x \in \mathbb{R}^r \mid x \cdot u \leq h_P(u) \text{ for all } u \in \mathbb{R}^r \setminus \{0\}\}$$

- $h_P$  is homogeneous and subadditive.

- When  $P$  is a convex polytope with vertex set  $V_P$ , then

$$(3) \quad h_P(u) = \max\{u \cdot v \mid v \in V_P\}$$

In particular,  $h_P$  is a piece-wise linear function.

- The support function recovers the vertices of the polytope. Indeed, if

$$h_P(u) = \max\{u \cdot v \mid v \in V\}$$

then  $P = \text{conv}(V)$ .

- The support function recovers the normals to the facets of the polytope. Indeed, the corner locus of  $h_P$  (i.e., the locus of points where  $h_P$  is not differentiable) is a fan  $\mathcal{F}$  whose rays are outer pointing normals to the facets of  $P$ . The maximal cones of  $\mathcal{F}$  are in 1-1 correspondence with the vertices of  $P$ .
- The projection of  $P$  to the line  $\mathbb{R}u$  is the line segment  $[-h_P(-u), h_P(u)]$ . See the above figure for an illustration.

Given a sequence  $(P_n)$  of polytopes, we say that their support function  $h_{P_n}$  is *piece-wise quasi-linear* if there exists a finite set  $S$  of quasi-linear vectors such that for all but finitely many  $n$  and all  $u \in \mathbb{R}^r$  we have:

$$(4) \quad h_{P_n}(u) = \max\{u \cdot v(n) \mid v \in S\}$$

We say that a vector  $\omega \in \mathbb{R}^r$  is *generic* if it has  $\mathbb{Q}$ -linearly independent coordinates.

**Lemma 2.1.** The following are equivalent for a sequence  $(P_n)$  of polytopes:

- (a) There exists a finite set  $S$  of quasi-linear vectors such that for all but finitely many  $n$  we have:

$$(5) \quad P_n = \text{conv}(\{v(n) \mid v \in S\})$$

- (b) There exists a matrix  $A$  and a quasi-linear vector  $b$  such that for all but finitely many  $n$  we have:

$$(6) \quad P_n = \{x \in \mathbb{R}^r \mid Ax \leq b(n)\}$$

- (c)  $(h_{P_n})$  is piece-wise quasi-linear.

- (d) There exists a rational fan  $\mathcal{F}$  in  $\mathbb{R}^r$  and a quasi-linear vector  $\delta_\sigma$  for each maximal cone  $\sigma$  of  $\mathcal{F}$  such that for all but finitely many  $n$  and all  $\omega \in \sigma$  generic, we have:

$$(7) \quad h_{P_n}(\omega) = \delta_C(n) \cdot \omega$$

*Proof.* (a) implies (c) by Equation (3). (c) implies (b) by Equation (2). (b) implies (a) by the description of the vertices of a polytope from inequalities. If (7) holds for  $\omega$  generic, then it follows by the continuity of  $h_{P_n}$  that it holds for all  $\omega$ . Equation (4) defines a constant coefficient tropical hypersurface, i.e., a fan which implies that (c) is equivalent to (d).  $\square$

**Remark 2.2.** Note that if Equation (4) (resp., (7)) holds for  $u$  (resp.,  $\omega$ ) generic, then by the continuity of  $h_{P_n}$ , it holds for all  $u$  (resp.,  $\omega$ ).

## 3. GENERALIZED POWER SUMS AND THEIR ZEROS

Generalized power sums play a key role to the Lech-Mahler-Skoem (in short, LMS) theorem. For a detailed discussion, see [vdP89] and also [EvdPSW03]. Recall that a *generalized power sum*  $a_n$  for  $n = 0, 1, 2, \dots$  is an expression of the form

$$(8) \quad a_n = \sum_{i=1}^m A_i(n) \alpha_i^n$$

with *roots*  $\alpha_i$ ,  $1 \leq i \leq m$ , distinct nonzero quantities, and coefficients  $A_i(n)$  polynomials of degree  $m_i - 1$  for positive integers  $m_i$ ,  $1 \leq i \leq m$ . The generalized power sum  $a_n$  is said to have *order*

$$d = \sum_{i=1}^m m_i$$

and satisfies a linear recursion with constant coefficients of the form

$$a_{n+d} = s_1 a_{n+d-1} + \dots + s_d a_n$$

where

$$s(x) = \prod_{i=1}^m (1 - \alpha_i x)^{m_i} = 1 - s_1 x - \dots - s_d x^d.$$

It is well-known that a sequence is *recurrent* i.e., satisfies a linear recursion with constant coefficients if and only if it is a generalized power sum. Observe that the monic polynomial  $s(x)$  of smallest possible degree is uniquely determined by  $(a_n)$ .

The LMS theorem concerns the zeros of a generalized power sum.

**Theorem 3.1.** [Sko35, Mah35, Lec53] *The zero set of a generalized power sum is the union of a finite set and a finite set of arithmetic progressions.*

A detailed proof of this important theorem is discussed in [vdP89], for recurrent sequences with values in an arbitrary field of characteristic zero. In the next section we will need a slightly stronger form of the LMS theorem. We say that a recurrent sequence  $(a_n)$  is *non-degenerate* if the ratio of two distinct roots of  $(a_n)$  is not a root of unity; see [EvdPSW03, Sec.1.1.9].

The LMS theorem in the case of number fields follows from the following two theorems.

**Theorem 3.2.** [EvdPSW03, Thm.1.2] *If  $(a_n)$  is recurrent sequence there exists  $M \in \mathbb{N}$  such that for every  $r$  with  $0 \leq r \leq M-1$ , the subsequence  $(a_{nM+r})$  is either zero or non-degenerate.*

Although we will not need this fact, if  $(a_n)$  takes values in a number field  $K$ , there are absolute bounds for  $M$  in terms of the degree of  $K/\mathbb{Q}$  and the order of  $(a_n)$ .

**Theorem 3.3.** [EvdPSW03, Cor.1.20] *If  $(a_n)$  is non-degenerate recurrent sequence with values in a number field  $K$ , then it has finitely many zero terms.*

In fact, the number of zeros is bounded above by the degree of  $K/\mathbb{Q}$  and the order of  $(a_n)$ ; see [ESS02, Eqn.1.18].

## 4. FATOU'S LEMMA

Recurrent sequences  $(a_n)$  of rational numbers are well-known, they satisfy linear recursion of the form

$$(9) \quad \sum_{k=0}^d c_k a_{n+k} = 0$$

for all  $n$  where  $c_k$  are rational numbers with  $c_d \neq 0$ . In [Fat06, p.369-370] Fatou proved that if  $(a_n)$  is a recurrent sequence of *integers*, then it satisfies a *monic* linear recursion, i.e., one of the form (9) where  $c_k$  are integers for  $k = 0, \dots, d$  and  $c_d = 1$ . More precisely, Fatou proved the following lemma, quoted by several authors, e.g. [Sta97, Exerc.4.2(a)].

**Lemma 4.1.** [Fat06] Consider a power series  $G(y) = \sum_{n=0}^{\infty} a_n y^n \in \mathbb{Z}[[y]] \cap \mathbb{Q}(y)$ . Then, there exist  $A(y), B(y) \in \mathbb{Z}[y]$  polynomials with  $B(0) = 1$  such that

$$G(y) = \frac{A(y)}{B(y)}$$

Moreover, if  $B(y) = 1 + \sum_{k=1}^d b_k y^k$ , then  $(a_n)$  satisfies the monic linear recursion

$$a_{n+d} + \sum_{k=1}^d b_k a_{n+d-k} = 0$$

for all  $n$ .

Let  $R = \mathbb{Q}[x_1^{\pm 1}, \dots, x_r^{\pm 1}]$  and  $K = \mathbb{Q}(x_1, \dots, x_r)$ . Fatou's proof also proves the following.

**Lemma 4.2.** Consider a power series  $G(y) = \sum_{n=0}^{\infty} p_n y^n \in R[[y]] \cap K(y)$ . Then, there exist  $A(z), B(z) \in R[z]$  polynomials with  $B(0) = 1$  such that

$$G(y) = \frac{A(y)}{B(y)}$$

Moreover, if  $B(y) = 1 + \sum_{k=1}^d b_k y^k$ , then  $(p_n)$  satisfies the monic linear recursion

$$(10) \quad p_{n+d} + \sum_{k=1}^d b_k p_{n+d-k} = 0$$

for all  $n$ .

## 5. LAURENT SERIES SOLUTIONS TO POLYNOMIAL EQUATIONS

In this section we recall some results regarding Laurent series solutions of polynomial equations whose coefficients are polynomials in several variables. These results are a generalization of the Newton-Puiseux algorithm.

Laurent power series in one variable form a field, whereas they only form a ring in the case of several variables. McDonald [McD95] constructed multivariate Laurent series solutions to a polynomial equation  $p(x, y)$  where  $x = (x_1, \dots, x_r)$ . Unlike the univariate (i.e.,  $r = 1$ ) case, McDonald's solutions depend on a generic weight vector  $\omega \in \mathbb{R}^r$ . Aroca-Ilardi [AI09] extended McDonald's results and constructed an algebraically closed field  $K_{\omega}((x))$  which

depends on  $\omega$ . In [GY14], Yu and the author free the above Laurent series from their dependence on a weight vector  $\omega$ . Let us recall the necessary definitions and notation to state the results of [GY14].

If  $x = (x_1, \dots, x_r)$  and  $\alpha = (\alpha_1, \dots, \alpha_r)$ , we denote  $x^\alpha = x_1^{\alpha_1} \dots x_r^{\alpha_r}$ . For a field  $K$ , let  $K\mathcal{P}(x)$  denote the set of series  $\phi$  of the form  $\phi = \sum_{\alpha \in \mathbb{Q}^r} c_\alpha x^\alpha$  where  $c_\alpha \in K$  for all  $\alpha$ . For such a series  $\phi$ , its support  $\mathcal{E}(\phi)$  is the set of  $\alpha \in \mathbb{Q}^r$  such that  $c_\alpha \neq 0$ .  $K\mathcal{P}(x)$  is not a ring. However, if  $C$  is a line-free cone (i.e., it does not contain a linear subspace) and  $x = (x_1, \dots, x_r)$ , then  $K_C[[x]]$  and  $K_C((x))$  defined by

$$K_C[[x]] = \left\{ \phi \in K\mathcal{P}(x) \mid \mathcal{E}(\phi) \subset C \cap \frac{1}{N}\mathbb{Z}^r \text{ for some } N \in \mathbb{N} \right\}$$

$$K_C((x)) = \cup_{\gamma \in \mathbb{Q}^r} x^\gamma K_C[[x]]$$

are rings.

We say that  $\omega \in \mathbb{R}^r$  is generic if its coordinates are  $\mathbb{Q}$ -linearly independent. From now on,  $\omega$  stands for a generic vector. We say that a cone  $C$  in  $\mathbb{R}^r$  is  $\omega$ -positive if  $\omega \in C^\vee$ , where the dual cone is defined by

$$\sigma^\vee = \{x \in \mathbb{R}^r \mid x \cdot y \geq 0, \text{ for all } y \in C\}$$

Let

$$K_\omega((x)) = \cup_C K_C((x))$$

where the union is over all  $\omega$ -positive cones  $C$ . Aroca-Illardi [AI09] show that  $K_\omega((x))$  is algebraically closed for all generic  $\omega$ . Thus,  $K_\omega((x))$  is an algebraically closed field which contains multivariable Laurent series rings  $K_C((x))$ .

Fix a polynomial

$$p(x, y) = a_d(x)y^d + \dots + a_0(x) \in K[x_1^{\pm 1}, \dots, x_r^{\pm 1}][y]$$

of  $r + 1$  variables  $(x, y)$  (where  $x = (x_1, \dots, x_r)$  and an algebraically closed field  $K$  of characteristic zero. Let  $N(p)$  denote the Newton polytope of  $p$  in  $\mathbb{R}^{r+1}$  and  $\Sigma(p)$  denote the fiber polytope of  $p$  with respect to the projection  $\mathbb{R}^{r+1} \rightarrow \mathbb{R}$ , where  $(x, y) \mapsto y$  [BS92]. Let  $\mathcal{F}$  denote the normal fan of  $\Sigma(p)$  in  $\mathbb{R}^r$ . If  $\sigma$  is a maximal cone of  $\mathcal{F}$ , the dual cone  $\sigma^\vee = \{x \in \mathbb{R}^r \mid x \cdot y \geq 0, \text{ for all } y \in C\}$  is line-free, i.e., contains no linear subspace.

**Theorem 5.1.** [GY14, Thm.1] *For every cone  $\sigma$  as above, there exist  $y_1(x), \dots, y_d(x) \in K_{\sigma^\vee}((x))$  such that*

$$p(x, y) = a_r(x)(y - y_1(x)) \dots (y - y_d(x))$$

**Corollary 5.1.** With the notation of Theorem 1.1, choose  $\omega \in \sigma$  generic. Then  $y_j(x) \in K_\omega((x))$  for  $j = 1, \dots, r$  are the roots of the polynomial  $p(x, y)$  in the algebraically closed field  $K_\omega((x))$ . This works even if  $p(x, y) \in K_\omega((x))[y]$ .

**Corollary 5.2.** With the notation of Theorem 5.1, if  $R(y_1(x), \dots, y_d(x))$  is a rational function of  $y_j(x)$ , then after possible refinement of  $\sigma$ , it follows that  $R(y_1(x), \dots, y_d(x)) \in K_{\sigma^\vee}((x))$ .

## 6. PROOF OF THEOREM 1.1

Fix a recurrent sequence  $p_n(x_1, \dots, x_r) \in R = \mathbb{Q}[x_1^{\pm 1}, \dots, x_r^{\pm 1}]$ . By Fatou's Lemma 4.2, we can find a monic recursion relation (10) with coefficients  $b_k \in R$ , and, without loss of generality, assume  $b_d \neq 0$ . Consider the characteristic polynomial  $p(x, y)$  of (10) and its factorization from Theorem 5.1

$$(11) \quad p(x, y) = y^d + \sum_{k=1}^d b_k(x) y^{d-k} = \prod_j (y - y_j(x))^{m_j}$$

for a fixed maximal cone  $\sigma$  of the normal fan  $\mathcal{F}$  of the fiber polytope  $\Sigma(p)$  of  $p$ . Here,  $y_j(x) \in K_{\sigma^\vee}((x))$  for  $j = 1, \dots, d$ . Thus we can write

$$y_j(x) = \alpha_j x^{\beta_j} \sum_{\gamma \in \sigma \cap \frac{1}{N}\mathbb{Z}^r} c_{j,\gamma} x^\gamma, \quad c_{j,0} = 1, \quad \alpha_j \neq 0$$

for  $j = 1, \dots, d$ , where  $c_{j,\gamma}, \alpha_j \in K$  for a number field  $K$ . This partitions the  $j$ -indexing set  $\{1, \dots, d\}$  into a disjoint union  $J_1 \sqcup J_2 \cdots \sqcup J_s$  such that  $v(y_j(x)) = \beta_i$  for all  $j \in J_i$  where  $\beta_i \neq \beta_{i'}$  for  $i \neq i'$ . Let  $\mathcal{X} = \{\alpha_1, \dots, \alpha_d\} \subset K^*$ . Let

$$S = \{0, 1, \dots, d\} \times \left( \mathbb{Q}^r + \left( \frac{1}{N} \sigma \cap \mathbb{Z}^r \right) \right)$$

**Step 1:** Laurent series presentation of  $p_n(x)$  by generalized power sums.

**Lemma 6.1.** After possibly refining  $\sigma$ , there exist a collection  $(a_{i,\gamma}(n))$  of generalized power sums (indexed by  $(i, \gamma) \in S$ ) with roots a subset of  $\mathcal{X}$  such that for all  $n$  we have:

$$(12) \quad p_n(x) = \sum_{(i,\gamma) \in S} a_{i,\gamma}(n) x^{n\beta_i + \gamma}$$

*Proof.* The general solution  $p_n(x)$  of a linear recurrence equation with constant coefficients has the form

$$(13) \quad p_n(x) = \sum_j c_j(x, n) y_j(x)^n$$

where  $c_j(x, n)$  are polynomials in  $n$  with coefficients rational functions of  $y_1(x), \dots, y_d(x)$ . Using Corollary 5.2, and after possibly refining  $\sigma$ , it follows that  $c_j(x, n) \in K_{\sigma^\vee}((x))[n]$  for all  $j = 1, \dots, d$ . Using the identity

$$(14) \quad \left( 1 + \sum_{k=1}^{\infty} c_k x^k \right)^n = 1 + n c_1 x + \left( n c_2 + \frac{n(n-1)}{2} c_1^2 \right) x^2 + \left( n c_3 + n(n-1) c_1 c_2 + \frac{n(n-1)(n-2)}{6} c_1^3 \right) x^3 + \dots$$

where the coefficients of each power of  $x$  are polynomials in  $n$ , and Equation (13), it follows that

$$p_n(x) = \sum_{(i,\gamma) \in S} a_{i,\gamma}(n) x^{n\beta_i + \gamma}$$



where

$$a_{i,\gamma}(n) = \sum_{j \in J_i} \alpha_j^n \operatorname{coeff} \left( c_j(x, n) \left( \frac{y_j(x)}{\alpha_j x^{\beta_j}} \right)^n, x^\gamma \right)$$

□

**Step 2:** Reduction to the non-degenerate case.

Let  $G$  denotes the subgroup of the abelian group  $K^*$  generated by the finite set  $\mathcal{X}$ .  $G$  is a finitely generated abelian group, and its torsion subgroup is finite of order, say,  $M$ . It follows that the subset  $\{\alpha_1^M, \dots, \alpha_d^M\}$  of  $K^*$ , after removing any repetitions, consists of non-degenerate roots. Therefore, for every  $(i, \gamma) \in S$  and every  $r$  with  $0 \leq r \leq M - 1$ , the generalized power sum  $(a_{i,\gamma}(Mn + r))$  is either zero or non-degenerate.

Let us now fix a generic weight  $\omega \in \sigma^\vee$ . It gives a total ordering  $<_\omega$  of the set  $S$  as follows:  $(i, \gamma) <_\omega (i', \gamma')$  if and only if  $\gamma_i \cdot \omega < \gamma_{i'} \cdot \omega$  or  $i = i'$  and  $\gamma \cdot \omega < \gamma' \cdot \omega$ . Since  $\sigma$  is  $\omega$ -positive, it follows that  $S$  is well-ordered. Let  $p_{\omega,n}(t) = p_n(t^{\omega_1}, \dots, t^{\omega_r})$ , and let  $v$  denote the valuation at  $t = 0$ : in other words  $v(\sum_k c_k t^{b_k}) = \min\{b_k \mid c_k \neq 0\}$ .

**Step 3:**  $v(p_{\omega,nM+r}(t))$  is a linear function of  $n$  with coefficients piece-wise linear functions of  $\omega$  for all but finitely many  $n$ .

Indeed we have

$$(15) \quad p_{\omega,nM+r}(t) = \sum_{(i,\gamma) \in S} a_{i,\gamma}(nM+r) x^{(nM+r)\beta_i \cdot \omega + \gamma \cdot \omega}$$

If  $p_{\omega,nM+r}(t) = 0$  for infinitely many  $n$ , it follows that for all  $(i, \gamma) \in S$ ,  $a_{i,\gamma}(nM + r) = 0$  for infinitely many  $n$ . By non-degeneracy and Theorem 3.3, it follows that  $a_{i,\gamma}(nM + r) = 0$  for all  $(i, \gamma) \in S$  and all  $n$ . Thus,  $p_{\omega,nM+r}(t) = 0$  for all  $n$ . In that case,  $v(p_{\omega,nM+r}(t)) = -\infty$  is a constant function of  $n$ .

Otherwise,  $p_{\omega,nM+r}(t)$  is nonzero for all but finitely many  $n$ . Since  $S$  is well-ordered by  $<_\omega$ , it follows that there is a smallest  $(i, \gamma) \in S$  such that  $(a_{i,\gamma}(nM + r))$  is not identically zero as a function of  $n$ . Since  $(a_{i,\gamma}(nM + r))$  is non-degenerate, Theorem 3.3 implies that  $\{n \in \mathbb{N} \mid a_{i,\gamma}(nM + r) = 0\}$  is a finite set, and for all  $n$  in its complement, Equation (15) implies that

$$v(p_{\omega,nM+r}(t)) = (nM + r)\beta_i \cdot \omega + \gamma \cdot \omega$$

Although  $(i, \gamma)$  depends on  $\omega$ , it is easy to see that they are locally constant functions of  $\omega$  and after possibly refining  $\sigma$  further, the result of step 3 follows.

Since  $-h_{p_n}(-\omega) = v(p_{\omega,n}(t))$ , it follows that the restriction of  $h_{p_n}(\omega)$  to each arithmetic progression  $M\mathbb{N} + r$  is a linear function of  $n$  (for all but finitely many  $n$ ) with coefficients piece-wise linear functions of  $\omega$ . Lemma 2.1 implies that  $N(p_n)$  is quasi-linear. This concludes the proof of Theorem 1.1. □

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